



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/601,122		
		Filing Date	06/20/2003		
		First Named Inventor	Ronald D. Rudkin		
		Art Unit	3691		
		Examiner Name	Campen		
Sheet	1	of	2	Attorney Docket Number	004344-294-NP

OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
✓	1	STEVEN HUDDART, Journal of Accounting and Economics 18 (1994) 207-231.	
	2	RICHARD A. LAMBERT et al., Journal of Accounting Research, 29 No. 1 Spring 1991, 129 - 149.	
	3	JEROLD B. WARNER et al., Journal of Financial Economics 20 (1988) 461-492, North Holland.	
	4	L. PETER JENNERGREN et al., The Accounting Review 68, No. 1, January 1993, 179-183.	
	5	RICHARD FRIEDMAN, What Are My Stock Options Worth?, http://www.mystockoptions.com .	
	6	BRIAN J. HALL et al., Optimal Exercise Prices for Executive Stock Options, December 1999, 1-18, Harvard NOM Working Paper No. 99-01.	
	7	MONDHER BELLALAH et al., The Financial Review, 30 No. 3 August 1995, 617-635.	
	8	JENNIFER N. CARPENTER, Journal of Financial Economics 48 (1998) 127-158.	
✓	9	MARK RUBINSTEIN, On The Accounting Valuation Of Employee Stock Option, Fall 1995, 8-24.	

Examiner Signature		Date Considered	7/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Art Unit	3691
Examiner Name	Cheryl Campen		
Sheet 2 of 2	Attorney Docket Number	004344-294-NP	

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10	10	NALIN KULATILAKA et al., Financial Analysts Journal, November-December 1994, 46-56.	
11	11	BRIAN J. HALL et al., Stock Options for Undiversified Executives, June 2000, 1-63, Harvard NOM Research Paper No. 00-05.	
12	12	JAMES A. BRICKLEY et al., Journal of Accounting and Economics 7 (1985) 115-129, North-Holland.	
13	13	JEROME DETEMPLE et al., The Review of Financial Studies Special 1999, Vol. 12, No. 4, pp. 835-872	
14	14	JOHN C. COX et al., Option Pricing: A Simplified Approach, Journal of Financial Economics v7n3 PP: 229-263 Sep 1979.	
15	15	CHARLES J. CUNY et al., Journal of Accounting and Economics 20 (1995) 193-205.	

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